
State Water Resources Control Board

October 14, 2021

Mr. Dave Andres
General Manager
Tuolumne City Sanitary District
P.O. Box 1238
Tuolumne City, CA 95379

FINAL BUDGET APPROVAL (FBA); TUOLUMNE CITY SANITARY DISTRICT; TCSD WASTEWATER TREATMENT PLANT IMPROVEMENT PROJECT (PROJECT); CLEAN WATER STATE REVOLVING FUND (CWSRF) PROGRAM; PROJECT NO. 8247-210

Dear Mr. Andres:

Thank you for submitting your Final Budget Approval Form, received August 5th, 2021, to establish the final budget for the Project. Per Section B.6 of Agreement No. D19-01033, the Division of Financial Assistance (DFA) has reviewed your Final Budget Approval Form and agrees to reimburse eligible Project Costs, in accordance with the Agreement, up to \$1,682,148, and consistent with the attached budget shown in Table 1. This FBA constitutes DFA's approval of the final budget for the Project, as shown in Table 1.

This letter also serves as DFA's approval of the Final Plan & Specifications (P&S) and determination of Project Cost eligibility. The specific construction eligibilities for the TCSD Wastewater Treatment Plant Improvement Project are as shown in Table 2 of this FBA.

DFA's Project Manager will coordinate with DFA's Payments Unit so you may begin drawing construction funds. A Construction Contractor Spreadsheet, Form 259, is enclosed for your use for the Project, as well as updated Forms 260 and 261.

If you do not consent to the final budget or eligibility determinations contained in this FBA, you must notify DFA of your disagreement within fourteen (14) days. Please note, submitting a reimbursement request pursuant to this FBA will be treated as Tuolumne City Sanitary District providing its explicit consent to the terms of this FBA.

E. JOAQUIN ESQUIVEL, CHAIR | EILEEN SOBECK, EXECUTIVE DIRECTOR

If you have any questions regarding the enclosed documents, please contact Mr. Joseph Quilatan at (916) 322-9671 or by email at Joseph.Quilatan@waterboards.ca.gov.

Sincerely,

A handwritten signature in dark ink, appearing to read 'Meghan', with a long horizontal flourish extending to the right.

Meghan Tosney, Assistant Deputy Director
Division of Financial Assistance

Enclosures (4)

1. Final Budget Approval Form
2. Form 259
3. Form 260
4. Form 261

State Water Resources Control Board

DIVISION OF FINANCIAL ASSISTANCE CLEAN WATER STATE REVOLVING FUND (CWSRF) LOAN PROGRAM FINAL BUDGET APPROVAL FORM

Tuolumne City Sanitary District
TCSD Wastewater Treatment Plant Improvement Project
8247-210

Table 1: Final Budget

	INITIAL APPROVED BUDGET	INCREASE OR DECREASE	FINAL APPROVED BUDGET ¹
A. Construction	\$1,188,135	\$173,865	\$1,362,000
B. Pre-Purchase Material/Equipment	\$0	\$0	\$0
C. Purchase of Land	\$0	\$0	\$0
D. Contingency ²	\$168,214	(\$91,361)	\$76,853
E. Allowances (Soft Costs)			
Planning	\$0		\$0
Design	\$134,147	\$104,500	\$238,647
Construction Management	\$141,652	(\$141,652)	\$0
Administration	\$50,000	(\$45,352)	\$4,648
Value Engineering	\$0	\$0	\$0
Subtotal E	\$325,799	(\$82,504)	\$243,295
Total	\$1,682,148	\$0	\$1,682,148

¹ Cost adjustments between lines of the final approved budget listed in Table 1 above may only be requested as part of the final reimbursement request.

² The Contingency line item may not be increased above the approved Contingency during construction. At DFA's discretion, any unclaimed costs may also be used towards change orders as part of the final reimbursement request. The change order approval may not: (1) increase the maximum amount of the financing agreement, (2) increase the term of the financing agreement, or (3) result in a substantial change in the Project scope.

E. JOAQUIN ESQUIVEL, CHAIR | EILEEN SOBECK, EXECUTIVE DIRECTOR

The project eligibility determination for the bid items shown in the schedule of values provided by the Recipient are as follows in Table 2.

Table 2: Eligibility Determination

CONTRACTOR		AMOUNT BID	APPROVED CWSRF COST
James C. Cushman, Inc		\$1,316,000 ^a	\$1,362,000 ^b
Bid Item	Description	Percent Eligibility	
1	Mobilization/Demobilization	100%	
2	Storage Lagoon Shotcrete Relining	100%	
3	Sludge Lagoon Aerator Installation	100%	
4	RAS Pump Installation	100%	
5	Electrical Updates	100%	
A1	Driveway Concrete Paving	100%	
B1	Aeration Basin Concrete Paving	0% (see condition #1)	
C1	Pump House Concrete Paving	100%	
D1	Effluent Line Relocation	0% (see condition #1)	

^a Base bid amount

^b Additive bid item A1 and C1 were included in the approved costs

Eligibility Determination Conditions of Approval are as follows:

1. Bid Item B1 and D1 were additive bids. The District did not choose to include these items to the project.
2. Necessary insurance directly related to the construction contract and extending throughout the period of the construction contract will be eligible for CWSRF/ SCG financing. This includes builder risk insurance, public liability insurance, fire, and Project specific insurance.
3. Earthquake insurance and "Act of God" insurance are ineligible for funding
4. Asphalt pavement, corresponding improvements, and excavation and refill materials due to trenching are limited to replacement of the trench width plus one foot on each side of the trench disturbed due to the construction work of the subject contract only. Full lane width paving or slurry seal is eligible only if required by ordinance or code.
5. The cost of local permits and licenses other than those issued by the Recipient are eligible for CWSRF/ SCG financing.
6. Review of the P&S by the Division is conducted to determine eligibility and administrative compliance with the Policy. Issuance of the FBA does not relieve the Recipient and the design engineer of their legal liability for the adequacy of the design.